

Appl. No. : 10/025,310 Patent Documents cited only in the Comments
Amdt. dated Aug 28 2004
Reply to the Office Action of Jun 15, 2004

U.S. PATENT DOCUMENTS CITED ONLY IN THE COMMENTS:

**U.S. Patent No.2001/0002928 A1: WIRELESS ATHLETIC TRAINING
COMMUNICATOR.**

**U.S. Patent No.2001/0032278 A1: REMOTE GENERATION AND DISTRIBUTION
OF COMMAND PROGRAMS FOR PROGRAMMABLE DEVICES.**

1. All changes in the current amendment versus the previous amendment are pointed out citing the page and line numbers in the "Marked up Amended Specification" **pages 2-22**. These changes are: **page 5 line 3** through **page 6 line 5** (added discussion of the Karrenberg, Heywood, and Miley patents); **page 7 line 20** (added reference to Alston); **page 7 line 21** (corrected Patent reference number); **page 14 line 8** (typographical correction, inserted a tab at the beginning of the line); **page 8 line 2** (grammatical correction, inserted "a"); **page 15 line 3** (grammatical correction, deleted "in"); and **page 16 line 2** through **page 19 line 4** (all claims of the present amendment compared to all claims of the previous amendment). A full clean copy of the present amended version is found on **pages 24-44**. All claims of the present amendment, both amended and unmodified, appear within it in clean form begin on **page 38**. A list of (only) the presently amended claims compared to the versions of these claims in the previous amendment begins on **page 45**. The list of (only) amended claims, clean versions, begins on **page 49**. The figures have not been modified from the previous amendment.
2. **Claims 3, 4, 22, 23, 26, 27** were not changed from the previous amendment. **Claims 2, 11, 24, 25, 28-36** have been modified from the previous amendment.
3. The examiner objected under 35 USC 112 that **Claims 24-25, 30-36** were indefinite due to insufficient antecedent. Previously the claims attempted to indicate "set of allowed device state values" by using the plural "device states", and the "current

device state” by using the singular “device state”. Unfortunately the term “device state” then became ambiguous between “the current device state” and “one of the allowed device states”. Accordingly, **Claim 2** has been modified as shown in **page 45, lines 4-14**. Now “current device state” refers to the state currently occupied by the device, “device states” to the full set of allowed states or a subset thereof, and “device state” to one of the allowed device states. The clean version is found on **page 49**.

4. The examiner objected under 35 USC 112 that **Claim 25** had insufficient antecedent basis for the term "the occupancy values". Accordingly, that claim has been amended to define “occupancy values” within the claim itself. The marked up version is found on **page 46, lines 10-12**. The clean version is found on **page 50**.
5. The examiner objected under 35 USC 112 that **Claim 24** had insufficient antecedent basis for the term "the device state order", which referred to the clause “said means determining the timing and order in which the device transitions between device states” in **Claim 2, page 44 lines 9-10**. Accordingly, **Claim 24** has been amended to use instead the term “the order of transitions between device states”, which is fully supported by the text in **Claim 2**. The marked up version is found on **page 46, lines 7-9**. The clean version is found on **page 50**.
6. The examiner objected under 35 USC 112 that **Claims 30-33** had the same antecedent problem as did **Claim 24**. Accordingly all of these claims have been reworded in the

same manner as for **Claim 24**. The marked up versions are found on **page 46, lines 18** through **page 47, line 9**. The clean versions are found on **pages 50-51**.

7. The examiner objected under 35 USC 112 that **Claims 34-36, page 47 line 10 – page 48 line 2**, had insufficient antecedent basis for the term "the four states of the device". Accordingly, these dependent claims have been amended via the addition of a clause that states explicitly "the device utilizes four device states". The parent **Claim 11** implicitly defines the number of device states as two or more (via the plural "states") **page 45, line 19**. **Claim 11** therefore offers sufficient antecedent basis for the definition of a device with four states as used in modified **Claims 34-36**. The clean **Claims 34-36** appear on **pages 50-51**.
8. The Examiner objected with respect to **Claims 34-36, page 47 line 10 – page 48 line 2**, "...one of ordinary skill would not be able to ascertain how the device can be required to set specific commands to each state as this is not defined nor limited by the parent." The function of the device is to present device states with a useful order and timing. The parent method in **Claim 11c page 46, lines 2-4** says, with respect to the athletes using the device, "the device displaying its current device state to the athletes in a form interpretable by them as a change of the environmental state within the context of the current athletic activity". **Claims 34-36** provide specific examples of such interpretations within particular athletic environments and each is supported by the specification: **page 10 line 11 through page 11 line 6**, and **Table 1 page 14 line 6**. An analogy may be helpful. A coin presents only two "device states" (heads

or tails) but it is still commonly used for many different tasks: determining which team bats first, deciding who chooses the movie, and so forth. What the flip means is entirely a function of the environment in which it is interpreted. Similarly, the present device does not explicitly map commands to the device states, the athletes do so by agreeing among themselves which device state corresponds to which environmental state. In other words, by setting the rules of the activity to include how the signals presented by the device will be interpreted. **Table 1**, the basis for **Claim 34**, provides one example of such an interpretation. This particular set of rules for the activity was chosen partially for its simplicity and partially for its mnemonic value (Red for Right and bLue for Left). Other mappings are possible and the athletes are free to use them.

9. The examiner objected under 35 USC 101 that independent **Claim 11** and its dependent **Claims 30-36** included nonstatutory matter, in **Claim 11d**. This part of **Claim 11** has been removed **page 46, lines 5-6**. The clean version of **Claim 11** begins on **page 49**.
10. The examiner objected under 35 USC 103 that the claims for the present device are unpatentable over Karrenberg. This matter has been discussed in the revised specification **page 5, lines 3-17**, but will be further commented upon in the following paragraphs.
11. One argument against the rejection of **Claim 2** is that while both are timing devices, they are not the same kind of timing device. One skilled in the arts would recognize that the principle of operation of Karrenberg's device and the present device are

radically different. Karrenberg's device is a ring oscillator (most clearly described in **column 2 lines 42-48**) in which the period of the oscillation is determined by the period of a small number of timers, each of which triggers the next timer. Once the period of each timer in the Karrenberg device has been set the device's behavior is fully specified and predictable. One skilled in the arts would recognize that the present device uses a table driven algorithm for emitting a series of states in random order with predefined statistical properties (for instance, state 1 occurs twice as often as state 2). To configure the present device, one must specify: the minimum hold time for a device state, the mean transition frequency, whether transitions are periodic or random, the transition order (random or sequential), and the average time spent in each device state (via the occupancy values). Once set, the state of the present device generally cannot be predicted accurately.

12. A further argument against the rejection of **Claim 2** is that the Karrenberg device, being a pacer, would be ill suited for the purposes the present device is intended to fulfil. Consider the baseball drill described in the present specification **page 11 lines 4-6**. After a few cycles the players would be able to predict when a particular state would occur and they would be able to prepare for that state before the display indicated it. Conversely, the present device would generally be configured so that the next state could not be predicted (except statistically, like the weather).
13. Another argument against the rejection of **Claim 2** is that Karrenberg does not suggest introducing random fluctuations in the timing of his device. Karrenberg did

not suggest this modification because his pacing device was intended for use in interval training where the goal is to train hard, medium, and rest, each for specified periods of time. The duration of these intervals is typically set to achieve a particular performance goal or metabolic rate target. In neither case would random variations from the specified time intervals be consistent with the desired training objective.

14. Another argument against the rejection of Claim 2 concerns the examiner's

contention that for the Karrenberg device: "The device further axiomatically includes a controller that can read the device setting to maintain the state in accordance with those setting (sic) and communicate to the display of the state (Column 2) as the device is programmed and the functionality would require such a device to maintain operability." However, the device described by Karrenberg is a ring oscillator and it is "programmed" only to the extent that the duration of each stage must be set. This process is akin to adjusting the variable resistors on a circuit board, the settings are not "read" per se, but are used directly by attached circuitry. Karrenberg's description seems to have suffered somewhat in the translation but his description of **Figure 2** from **Column 4 line 66** through **Column 5 line 24** indicates two oscillators (**1a, 2a**), which he refers to as "tappers", which are followed by counters (**1b, 2b**). He states in **Column 2 line 52** that the device can be set in intervals of 1-999 seconds, and **13** in **Figure 1** is a 3 decade numerical switch. Consequently, one skilled in the arts would assume that the tappers **1a, 2a** have a frequency of 1 hz and the counters **1b, 2b** count up (or down) from the value set by the 3 decade switches **13, 14**, these values being

read directly by the counter circuits. Conversely, in the present device the switches are indeed read by a controller, and those values which are read are used within a program executed by that controller. In summary, Karrenberg's device does not contain a programmable controller equivalent to that of the present device.

15. Another argument against the rejection of **Claim 2** is that Karrenberg did not actually suggest the concept of "environmental states" and the interpretation of his device in these terms can only be made retrospectively with respect to the present application. Even retrospectively the "environmental states" described in Karrenberg consist solely of levels of exertion: hard (running), medium (walking), none (resting). The environmental states explicitly described in the present application are more varied, change more quickly, and typically refer to changes in direction or a choice of different actions. Moreover, the different states described for the present device usually do not differ significantly in the level of exertion. That is, going right or left around a soccer cone requires about the same effort.

16. The examiner rejected **Claim 3** under 35 USC 103 because Karrenberg's device (might be redesigned so that it) also contains a microprocessor. One skilled in the arts understands that countless devices including Karrenberg's and that of the present application may utilize microprocessors. However, the applicant respectfully submits that **Claim 3** (as currently amended) is valid because it modifies the amended **Claim 2** which, as is argued above, describes a device which is neither Karrenberg's device nor an obvious extension of it.

17. The examiner rejected **Claim 4** under 35 USC 103 because Karrenberg's device also utilizes LEDs and discloses that said LEDs may be of different colors. However, the applicant respectfully submits that **Claim 4** is valid because it modifies the amended **Claim 2** which, as is argued above, describes a device which is neither Karrenberg's device nor an obvious extension of it.
18. The examiner rejected **Claim 11** under 35 USC 103 with the statement "the device is set in the manner it is to be varied". However, the applicant respectfully submits that the manner in which Karrenberg's device varies and the manner in which the present device varies are quite different, consequently, they are not set the same way. For instance, Karrenberg's device sets a single duration for each timer. **Claim 11a page 45 lines 19-21** says, "setting the mean frequency of transitions between device states, the minimum hold time and the average time spent in each device state, and the device state order". These quantities must all be set, and specified as a minimum or an average, because the present device varies device states in time with nonzero variance. Karrenberg's device provides no such variance, consequently the minimum hold time, the frequency of transitions, and the average time spent in each device state are not independent values, resulting in a requirement for setting only a single duration value for each timer.
19. The examiner rejected **Claims 22-25** under 35 USC 103 citing that both Karrenberg's and the present device set various timing parameters. However, the applicant respectfully submits that, as noted in the preceding paragraphs, those parameters set

Appl. No. : 10/025,310 Remarks
Amdt. dated Aug 28, 2004
Reply to the Office Action of Jun 15, 2003

for the present device are not those set for Karrenberg's device. In Karrenberg's device a state has the same length in each cycle. In most modes of operation of the present device there is intentionally considerable variance in the timing and order of the device states. The mean frequency of **Claim 22** is qualified that way because the variance of the device in most operating modes precludes specifying a single transition frequency. Similarly, the minimum hold time of **Claim 23** is qualified that way to (partially) define the variance (which increases as the difference between the inverse of the mean frequency and the minimum hold time). **Claim 24** specifies random or sequential transitions between device states. Karrenberg's device provides only for sequential device state transitions. Doing otherwise would be contrary to the purpose of his device, since it would randomly produce state sequences like "rest rest rest" and "run run run" which make little sense in the intended context of interval training. The occupancies set in **Claim 25** define the average time spent in each device state, once again, this is required due to the variance of the present device.

20. The examiner rejected **Claim 26** under 35 USC 103 because Karrenberg's device also may use a battery. However, the applicant respectfully submits that **Claim 26** is valid because it modifies the amended **Claim 2**, which as is argued above, describes a device which is neither Karrenberg's device nor an obvious extension of it.
21. The examiner rejected **Claim 27** under 35 USC 103 because Karrenberg's device also may use a power switch. However, the applicant respectfully submits that **Claim 27** is

valid because it modifies the amended **Claim 2**, which as is argued above, describes a device which is neither Karrenberg's device nor an obvious extension of it.

22. The examiner rejected **Claim 28** under 35 USC 103 because Karrenberg's device could be built using a conical case and rings of LEDs instead of single lights.

However, the applicant respectfully submits that **Claim 28** is valid because it modifies the amended **Claim 2**, which as is argued above, describes a device which is neither Karrenberg's device nor an obvious extension of it.

23. The examiner rejected **Claim 29** under 35 USC 103 because Karrenberg's device uses differently colored lights. However, the applicant respectfully submits that **Claim 29** is valid because it modifies the amended **Claim 2**, which as is argued above, describes a device which is neither Karrenberg's device nor an obvious extension of it.

24. The examiner rejected **Claims 30-33** under 35 USC 103 stating that "Karrenberg discloses that the device can have its transition programmed, thus the usage of random, periodic, or sequential order and timing would be obvious to a skilled artisan as it merely represents the usage of the device and would not require a different structure. However, the applicant respectfully submits that **Claims 30-33** are valid for the same reasons described with respect to **Claim 2** above. In brief, those reasons are: the device mechanisms are very different (**remark 11**), Karrenberg's device is a pacer and so not suitable for the tasks targeted by the current device (**remark 12**),

and the proposed addition of randomness would have rendered Karrenberg's device unsuitable for its original intended purpose (**remark 13**).

- 25.** Another argument against the rejection of **Claims 30-33** concerns the examiner's contention that Karrenberg's device "can have its transition programmed."

Karrenberg describes a device comprised of a ring of timers, each of which may be set to fire after a specific time and which fire in an invariant circular order. The device as described by Karrenberg provides no mechanism to reconfigure it from a sequential order of firing to a random order of firing. Neither does it provide for varying the duration of each state randomly. Such a usage therefore requires a different structure, in fact, one similar to that of the present device.

- 26.** Another argument against the rejection of **Claim 33** must be considered separately.

One skilled in the arts would understand that once the order of the device state transitions is sequential and the timing of the device state transitions is periodic that the present device is reduced to a timing device which appears externally to behave in a manner similar to Karrenberg's. However, the manner in which the present device is set while in this mode still differs from the way Karrenberg's device is set, because the same controls for setting transition frequency, occupancy, and hold times must be used as for the other modes.

- 27.** A further separate argument against the rejection of **Claim 33** may be made in terms of the utility of the present device. Players tend to bunch up in the typical soccer dribbling drill course using a line of cones. On many practice fields coaches may be

seen trying to relieve this traffic congestion by setting up two cone lines and starting pairs of players with a verbal “go” command. One skilled in the arts would recognize that **Claim 33** allows a further modification of this soccer drill that frees the coach from the task of acting as a gate. Arrange the two cone lines in a V with the present device placed at the tip of the V and set to cycle between “left” and “right” (but not “left or right” or “wait”) at a fixed interval long enough to space out the players on each path. One player per transition is directed onto the indicated path. This application of **Claim 33** is consistent with other uses of the device (directing players right or left), and is in no way indicated by Karrenberg, who speaks only in terms of interval training. Moreover, the method of **Claim 33** is required here because this gate function can only be poorly implemented with the present device when using the methods described in **Claims 30-32**. The variance induced by these other methods is counterproductive in this instance, resulting in situations where too few or too many players are loaded onto each path.

28. The examiner rejected **Claims 34-36** under 35 USC 103 stating that “Karrenberg discloses the device can be used in a number of sports where transitions are required. A skilled artisan understands soccer, basketball, and baseball all require such transitions.” However, the applicant respectfully submits that **Claims 34-36** are valid. Karrenberg discloses an interval training device. He states repeatedly that this is its purpose and provides no indications that he envisions any other use for it. His device provides no mechanism to introduce variance in its cycle (the duration of any given

Appl. No. : 10/025,310 Remarks
Amdt. dated Aug 28, 2004
Reply to the Office Action of Jun 15, 2003

state will be the same in every cycle, as will be the order in which the states are presented.) Accordingly Karrenberg's device, offering no variance in timing, is utterly predictable and so completely unsuitable for the methods described in **Claims 34-36**. This is not to say that Karrenberg's device could not be used in these sports, only that it would be used as he described it, for interval training, which is not the use described for the present device..

29. Another argument against the rejection of **Claims 34-36** is that they modify **Claim 11**, which as described above, describes a device that is not Karrenberg's device.

30. As requested by the examiner, a discussion of U.S. Pat no 3,789,402 begins on **page 5 line 3**.

31. As requested by the examiner, a discussion of U.S. Pat no 5,921,890 has been added on **page 5 line 18**.

32. As requested by the examiner, U.S. Pat no 4,502,489 has been added to the discussion on **page 7 line 20**.

33. As requested by the examiner, U.S. Pat no 6,278,378 B1 is discussed on **page 7 line 21**.

34. The examiner requested a discussion of Cummins, U.S. Pat. No. 2001/0002928 A1, which describes a "wireless athletic training communicator". The applicant respectfully submits that the Cummins device is of minimal relevance to the present patent in its current form, as no claim is made for distributing device states remotely, although alternative embodiments are mentioned that could utilize a similar

Appl. No. : 10/025,310 Remarks
Amdt. dated Aug 28, 2004
Reply to the Office Action of Jun 15, 2003

technology **page 14 line 17** through **page 15 line 5**. Moreover, Cummins does not indicate that the content of the communication to the athlete involves indicating athletic environmental states. Accordingly a discussion of Cummins' device was not added to the application.

35. The examiner requested a discussion of Brown et. al, U.S. Pat. No. 2001/0032278 A1, which describes "remote generation and distribution of command programs for programmable devices". The applicant respectfully submits that Brown's device is of minimal relevance to the present patent in its current form, as no claim is made for remote programming, although that is mentioned as a possible alternate embodiment **page 14, line 18**. Accordingly a discussion of Brown's device was not added to the application.

36. If after reviewing the amended application and these remarks the examiner finds that the application contains patentable material but the claims are still not technically adequate the applicant respectfully requests that the examiner write acceptable claims pursuant to MPEP 707.07(j).

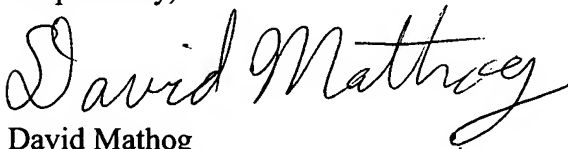
37. The applicant respectfully submits that no new matter has been added to the specification in this amendment.

38. The applicant submits that the issues noted by the examiner in the second Office Action have been resolved. The amended **Claims 2, 24, 25, and 30-36** have been demonstrated to satisfy the conditions of section 112; the amended **Claims 11, and 30-36** have been demonstrated to satisfy the conditions of section 101; and all claims

Appl. No. : 10/025,310 Remarks
Amdt. dated Aug 28, 2004
Reply to the Office Action of Jun 15, 2003

in the present application have been shown not to be encompassed by Karrenberg and so satisfy the conditions of section 103: There are major differences between Karrenberg's device and methods and those of the present application; One skilled in the arts would not obviously extend the teaching of Karrenberg to encompass the present application as doing so would either introduce elements contrary to the teaching of Karrenberg or would introduce concepts outside of the scope of his invention. Accordingly, the applicant submits this amended application in the belief that it is now in full condition for acceptance.

Respectfully,



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